

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT
APPLICATION

In re: PATENT APPLICATION of:

Inventor(s): UEMURA et al.

Appln. No.: 09 845,336

Series Code ↑

Serial No. ↑

Group Art Unit 2818

Examiner: Huynh, Andy

Atty. Dkt. P 280291

T36-131965M/KOH

M#

Client Ref

Filed: May 1, 2001

Title: GROUP III NITRIDE COMPOUND
SEMICONDUCTOR LIGHT-EMITTING
DEVICER
R
C
EDO NOT USE FOR PROVISIONAL,
DIVISIONAL, CIP OR DESIGN
APPLICATIONS, OR REEXAMINATION OF
PATENTSHon. Commissioner of Patents
Washington, D.C. 20231

Date: September 26, 2002

Sir:

REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER RULE 114

Please continue the examination of this application.

PREREQUISITES

This application was filed on/after June 8, 1995, is not abandoned, and no court action has been filed, or if filed, it has been terminated.

An issue fee **has not been** paid (unless a petition under Rule 313(c)(2) is also being filed -- see item 4 below).**Prosecution has been closed as defined in Rule 114(b).****Reply to any outstanding action must be enclosed or previously filed.**

This application is entitled under Rule 114 to withdrawal of any outstanding finality or of any allowance plus a new action by the Examiner. Consideration on the merits of each submission (e.g., IDS, Amendment, new arguments, new evidence, but not appeal/reply briefs themselves) filed herewith is respectfully requested.

Please consider the following before the next Official Action:

1. Please ☐ enter ☐ do not enter the Amendment filed
2. ☐ The enclosed new Amendment
3. ☐ Consider the arguments in the appeal brief filed ___ and reply brief filed
4. ☐ The issue fee has been paid, but this RCE is based on Rule 313(c)(2). See enclosed petition
5. ☒ The enclosed Information Disclosure Statement
- ☒ IDS Letter ☐ Cited Appln ☐ Foreign Search Report/OA
- ☒ PTO-1449 ☒ Cited Documents
6. ☐ Please suspend action under Rule 103(c) for a period of ___ months (3 mos. Max) for which charge the required \$130 fee (fee code 098) to our Deposit Account (see below).
7. Petition is hereby made to extend the original due date of ___ to cover the date this Request is filed. **PLEASE CHARGE** the requisite fee to our Deposit Account (see below)
- | | |
|---------|-------------------|
| (1 mo) | \$110/\$55 |
| (2 mos) | \$400/\$200 + 920 |
| (3 mos) | \$920/\$460 |
8. **PLEASE CHARGE** the Rule 17(e) (RCE) filing fee of ☒ \$740 (lg. ent.) ☐ \$370 (sm. ent.) plus any deficiency and any other fee due now or later to our Deposit Account No. 03-3975 under Order No. 51273 / 280291

09/27/2002 SSESHE1 00000069 033975 09845336

C# M#

01 EC:179 740.00 CH

NOTE: Rule 17(e) filing fee Cannot be deferred!
NO CLAIMS FEE REQUIRED unless you are adding claims by box 2 Amendment in which case cover this with PAT-120.Pillsbury Winthrop LLP
Intellectual Property GroupP.O. Box 10500
McLean, VA 22102

By Atty: John P. Darling

Reg. No. 44,482

(703) 905-2000
Atty/Sec: JPD/tmt

Sig:

Fax: (703) 905-2500
Tel: (703) 905-2045**NOTE: File this Request (plus enclosures, if any) in duplicate and with PTO receipt (PAT-103A)**

Inventor(s): UEMURA et al.

Appl. No.: 09

845,336

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Hon. Commissioner of Patents
Washington, D.C. 20231

Sir:

REPLY/AMENDMENT/LETTER

Group Art 2818

Examiner: Huynh, Andy

Atty. Dkt.

P 280291

T36-131965M/KOH

M#

Client Ref

Appl. Title: GROUP III NITRIDE COMPOUND
SEMICONDUCTOR LIGHT-EMITTING
DEVICE

Date: September 26, 2002

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

FEE REQUIREMENTS FOR CLAIMS AS AMENDED

1. Small Entity claim

- A. ☒ NOT made
B. ☐ Withdrawn
C. ☐ made herewith
D. ☐ made previously

For B & C
See **Required
Separate Paper**
(Pat-256)

	Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims	14	**minus 20	0	x \$18/\$9 =	+ \$0	103/203
3. Independent Claims	2	***minus 3	0	x \$84/\$42 =	+ \$0	102/202
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application)				+ \$280/\$140 =	+ \$0	104/204
5. Original due Date: September 30, 2002	<input type="checkbox"/> NONE					
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo)	\$110/\$55 =				115/215
	(2 mos)	\$400/\$200 =		+ \$0		116/216
	(3 mos)	\$920/\$460 =				117/217
	(4 mos)	\$1,440/\$720 =				118/218
	(5 mos)	\$1,960/\$980 =				128/228
7. Enter any previous extension fee paid since above original due date and subtract				- \$0		
8. Extension Fee				+ \$0		
9. If Terminal Disclaimer attached, add Rule 20(d) official fee				+ \$110/\$55	+ \$0	148/248
10. If IDS attached requires Official Fee under Rule 97 (c),				+ \$180	+ \$0	126
or if Rule 97(d) Request				+ \$180		126
11. After-Final Request Fee per rules 129(a) and 17(r)				+ \$740/370	+ \$0	146/246
12. No. of additional inventions for examination per Rule 129(b)				x \$740/370 ea	+ \$0	149/249
13. Request for Continued Examination (RCE)				+ \$740/370	+ \$740	1179/1279
14. Petition fee for				+ \$0		
15. TOTAL FEE =					\$740	
16. *If the entry in this space is less than entry in next space, the "Present Extra" result is "0".						PLEASE CHARGE OUR DEP. ACCT
17. **If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.						
18. ***If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.						

Our Deposit Account No. 03-3975)

(Our Order No. 51273

280291

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

P.O. Box 10500
McLean, VA 22102
Tel: (703) 905-2000

Pillsbury Winthrop LLP
Intellectual Property Group

By Atty: John P. Darling

Sig:

Reg. No.

44,482

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Atty/Sec: JPD/tmt

NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments